

**U.S. DEPARTMENT OF STATE**  
**Initial Notice of Funding Opportunity**

**Federal Awarding Agency:** U.S. Department of State  
**Program Office:** Office to Monitor and Combat Trafficking in  
Persons, International Programs Section  
**Funding Opportunity Title:** FY 2019 TIP Office Initial Notification of Funding  
Opportunity  
**Announcement Type:** FY 2019 TIP Office Initial Notification of Funding  
Opportunity  
**Funding Opportunity:** SFOP0005674  
**Catalog of Federal Domestic  
Assistance Number(s):** 19.019  
**Deadline for Applications:** May 3, 2019, 5:00 pm EDT

**FULL TEXT OF NOTICE OF FUNDING OPPORTUNITY**

**A. PROGRAM DESCRIPTION**

The Department of State Office to Monitor and Combat Trafficking in Persons (TIP Office) announces an open competition for Fiscal Year (FY) 2019 projects to combat trafficking in persons outside of the United States. Informed by the annual Trafficking in Persons (TIP) Report and current anti-trafficking programming, and in consultation with other offices in the U.S. government, the TIP Office has identified 21 priority country and regional projects for funding. These programming priorities are listed under the *FY 2019 Programming Objectives* section starting on Page 5 below.

The TIP Office will allocate funding through an open, two-stage competitive process. In the first stage of competition, U.S.-based and foreign non-profits, non-governmental organizations, public international organizations, institutes of higher education, and for-profit entities are invited to submit three-page statements of interest (SOI) for projects designed to address the programming objectives highlighted in the funding opportunity. Upon completion of a technical and programmatic review, the TIP Office will select a limited number of SOIs for further consideration. In the second stage of competition, the TIP Office will invite those selected applicants to submit full proposals that build on the concepts described in their three-page SOIs.

While previous awards have typically not exceeded \$1,000,000 for two to three years in duration, the TIP Office encourages applicants to consider proposing larger and longer-term bilateral and regional projects in response to this NOFO, as appropriate. The TIP Office welcomes strong proposals for projects that align with U.S. government priorities, address human trafficking challenges on a significant scale, and offer the potential to have a systemic and sustainable impact, among other factors. Please be advised, all awards will be made pending the availability of FY 2019 appropriated funds.

Proposals for this funding opportunity must be submitted via [SAMS Domestic](#) by **5:00 p.m. Eastern Daylight Time (EDT) on May 3, 2019**, to be eligible for consideration. If an organization has difficulty submitting a proposal near the deadline, their application will only be accepted late if they open a ticket with the help desk to resolve the problem prior to the deadline. A ticket with the help desk can only be opened by calling 1 (888) 313-4567 or submitting a ticket through the [ILMS Self Service Portal](#). **To be competitive under this funding opportunity, applicants must be fully responsive to all directions in this document. Please pay special attention to all font, font size, document types, and formatting requirements.** For more specific guidance on submitting an application, please view the TIP Office Application 101 video. Please visit our website <https://www.state.gov/j/tip/intprog/index.htm> to view this material.

## **B. FEDERAL AWARD INFORMATION**

The Trafficking Victims Protection Act of 2000 (22 USC 7101 et seq.), as amended (TVPA), established the TIP Office in the U.S. Department of State. The TIP Office leads the United States' global engagement on the fight against human trafficking and seeks partnerships with foreign governments, civil society organizations, and multilateral organizations to combat modern slavery through the “3P” paradigm: **prosecuting** traffickers through victim-centered law enforcement, **protecting** trafficking victims through proactive identification and comprehensive, trauma-informed care, and **preventing** trafficking in persons. TIP Office programs combat human trafficking—a crime involving the exploitation of someone for the purposes of compelled labor or a commercial sex act through the use of force, fraud, or coercion. Forms of human trafficking include sex trafficking, child sex trafficking, forced labor, bonded labor (also called debt bondage), domestic servitude, forced criminality, forced child labor, and the unlawful recruitment or use of child soldiers.

The U.S. government defines “trafficking in persons” as:

- Sex Trafficking – when a trafficker uses force, fraud, or coercion to compel a person to engage in a commercial sex act or when a trafficker causes a child who has not attained 18 years of age to engage in a commercial sex act.
- Forced Labor – when a trafficker recruits, harbors, transports, provides, or obtains a person for labor or services by using force, fraud, or coercion.

Trafficking in persons does not require the movement of a person. Under the Trafficking Victims Protection Act (TVPA) and generally consistent with the UN Protocol to Prevent, Suppress and Punish Trafficking in Persons (Palermo Protocol), individuals may be trafficking victims regardless of whether they once consented, they participated in unlawful acts their traffickers compelled them to commit, someone transported them into the exploitative situation, or they were simply born into a state of servitude. The TIP Office will not support projects that use alternate definitions of trafficking.

The TVPA requires that the Department of State submit to Congress an annual report assessing the efforts of governments to address trafficking in persons. The annual TIP Report is the primary tool for the Department's engagement with other governments on human trafficking and informs U.S. policy and assistance priorities to combat this crime. The 2018 TIP Report is available at: <https://www.state.gov/j/tip/rls/tiprpt/2018/index.htm>.

Since 2000, when the United Nations adopted the Palermo Protocol and the United States enacted the TVPA, more than 170 countries have become party to the Protocol. In addition, more than 120 countries have passed anti-trafficking laws, and many countries have established specialized law enforcement units, set up trafficking victim assistance mechanisms, and launched public awareness campaigns. Nonetheless, the number of victims identified and assisted and the number of traffickers investigated, prosecuted, and convicted remain low relative to the size of the problem; and governments face continued challenges in effectively implementing new anti-trafficking legal and policy frameworks.

The TIP Office works to address these challenges through its foreign assistance programs. Information on U.S. government anti-trafficking efforts is available at <https://www.state.gov/j/tip/response/index.htm> and a summary of international programs currently funded by the TIP Office is available at <https://www.state.gov/j/tip/intprog/index.htm>.

## **FY 2019 GRANT COMPETITION OVERVIEW**

This funding opportunity announces the beginning of the FY 2019 open and competitive process to award grants or cooperative agreements. In this stage, applicants submit a Statement of Interest (SOI), which is a three-page summary of each proposed project. Applicants must submit separate SOI applications for each country or regional project identified in this funding opportunity if pursuing multiple grants. Following a technical review and a formal review of each SOI that passes the technical review, selected applicants will be invited to submit full proposals, which will be formally reviewed by the TIP Office and by an interagency selection panel for potential funding.

Second-stage applicants will be subject to a TIP Office risk assessment process that may include a pre-award site visit. The assessment may consider a variety of risk factors, including (1) Financial stability of the applicant; (2) Quality of management systems and ability to meet prescribed management standards; (3) Past performance in managing previous federal awards, if applicable, including compliance with reporting requirements, conformance to the award's terms and conditions, and the extent to which previously awarded amounts will be expended prior to future awards; (4) Reports and findings from available audits; and (5) Applicant ability to effectively implement statutory, regulatory, or other requirements applicable to non-Federal entities.

Any funds awarded under this funding opportunity will be provided through a grant or cooperative agreement. A cooperative agreement provides for substantial involvement between the agency — in this case the TIP Office — and the recipient during the award's period of performance. Examples of substantial involvement by the TIP Office may include, but are not limited to, reviewing and approving project materials, training curricula, and evaluation plans produced by sub-recipients. Projects funded by resources leveraged from other donors are not subject to TIP Office approval.

The TIP Office may fund activities in high-income countries, but the use of foreign assistance funding to benefit a high-income country requires additional review. Applicants should ensure that inclusion of developed countries is responsive to the TIP Office's programming objectives. The TIP Office considers developed countries to be those designed as high income by the World Bank using the gross national income (GNI) per capita (Atlas method). The current threshold for high-income countries (based on 2018 data) is a GNI per capita of \$12,056 or more.

## FY 2019 PROGRAMMING OBJECTIVES

The global trends and country-specific recommendations found in the 2018 TIP Report narratives guide TIP Office programming for FY 2019. The TIP Office generally prioritizes foreign assistance in those countries assessed below Tier 1 and where governments demonstrate political will, but lack the economic resources or anti-trafficking expertise to effectively address the problem. The FY 2019 programming priorities below are listed by geographic region and outline specific TIP Office project objectives by country or sub-region. Priorities were developed by reviewing recent TIP Report tier rankings and human trafficking trends; consulting with intra- and interagency policy and programming stakeholders; and considering current and planned programming from this office, other U.S. government agencies, and other public and private donors.

### **AFRICA (AF)**

#### **West and Central Africa**

##### **1. West and Central Africa Regional Program**

The TIP Office seeks an applicant to implement a regional project that will benefit more than one country, such as Senegal, Chad, Mauritania, Niger, Burkina Faso, Benin, Togo, and other countries to be identified by the applicant. Potential programming objectives may include, but are not limited to, the following:

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (**Senegal, Mauritania, Burkina Faso, Benin, Togo**)*
- *Strengthen coordination between the anti-trafficking committee (CNLTP) and the appropriate agencies to better operationalize anti-trafficking policies (**Senegal**)*
- *Build effective human trafficking data collection systems, analytics, and reporting mechanisms to track and share information relevant to human trafficking and train stakeholders on existing collection methods. Mechanisms include – but are not limited to – databases containing information on identified victims of trafficking, potential victims of trafficking, cases, and/or potential human trafficking perpetrators (**Senegal, Burkina Faso**)*
- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance,*

*repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience (Senegal, Mauritania, Niger, Burkina Faso, Benin, Togo)*

- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level. This may include – but is not limited to – the development of a National Referral Mechanism to provide a structured framework of outlined procedures for the identification and support of victims of trafficking, the creation of a human trafficking task force, and/or the creation of a National Action Plan where such mechanisms do not already exist (Burkina Faso, Benin, Niger, Togo)*
- *Raise awareness and provide educational information on human trafficking issues and risk factors to a target population, e.g. first responders, medical professionals, teachers, religious leaders, etc. (Mauritania, Niger, Benin, Togo)*
- *Other programmatic areas as identified by the applicant.*

## **East Africa**

### **1. Ethiopia – Tier 2**

- *Strengthen the capacity of national and regional task forces to implement the national referral mechanism (NRM), and establish shelter services for male victims of trafficking (TIP Report Recommendations 3, 4, 5)*
  - Establish and operationalize referral networks
  - Build the capacity of frontline actors on identification, assistance and referral of victims of trafficking
  - Organize cross-sectoral coordination meetings involving prevention, protection and prosecution actors
  - Upgrade identified shelters with an emphasis on services for male victims
- *Strengthen the capacity of the Witness Protection Unit and operationalize the NRM victim-witness protection processes and procedures (TIP Report Recommendations 1, 4)*
- *Address the problem of children in domestic servitude and commercial sexual exploitation (TIP Report Recommendation 1)*
  - Conduct a prevalence study of internal trafficking in Addis Ababa that addresses children in domestic servitude

- Conduct a prevalence study of internal trafficking in Addis Ababa that addresses children in commercial sexual exploitation
- Proactively identify and rescue children in domestic servitude and commercial sexual exploitation
- Provide comprehensive assistance and reintegration support

## 2. Djibouti – Tier 2

- *Institutionalize National TIP Referral Mechanisms between state actors and civil society (TIP Report Recommendations 2, 3)*
  - Formalize procedures for identifying victims and referring them for care
  - Train officials on victim identification and referral procedures
- *Improve comprehensive services for victims of trafficking (TIP Report Recommendations 4, 5)*
  - Identify and assess potential shelter service providers for victims of trafficking, including assessing their capacity to provide services
  - Upgrade shelter(s) identified through national consultation, to include the upgrading/refurbishing rooms for appropriate family units
  - Train shelter staff to identify trafficking victims through the use of available tools, such as screening and assistance interview forms
  - Train shelter staff and social workers/health care providers, including government staff, to provide trauma informed mental health counseling to victims

## 3. Indian Ocean Commission (IOC) Regional Project: Madagascar, Comoros, Mauritius, and Seychelles

The TIP Office seeks an applicant to implement to a regional project that will benefit one or more countries in the IOC as follows:

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (Madagascar, Comoros, Mauritius, Seychelles) (TIP Report Recommendations 1, 2)*
  - Develop a training curriculum and tools that address core elements of a victim-centered criminal justice response to combat human trafficking
  - Develop specialized investigative and prosecutorial skills and provide ongoing case consultation/operational support for TIP investigations
- *Institutionalize National TIP Referral Mechanisms between state actors and civil society (Mauritius) (TIP Report Recommendation 1)*



- Formalize procedures for identifying victims and referring them for care and train officials on victim identification and referral procedures

## **Southern Africa**

### **1. South Africa – Tier 2 Watch List**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 4, 6, 7, 9)*
  - Develop the capacity of the South African Police Service (SAPS) to identify victims and investigate potential trafficking cases employing a victim-centered approach
  - Increase coordination between SAPS officers and the Department of Priority Crime Investigation’s anti-trafficking units throughout the country
  - Support the National Prosecuting Authority in all provinces to prosecute sex and labor trafficking in coordination with police investigators
  - Target provinces with lower capacity and resources to better coordinate on the national response to combat trafficking in persons through increased investigation and prosecution of trafficking cases
  - Embed a human trafficking expert in the Department of Justice and Constitutional Development to provide case consultation and mentorship and assist government stakeholders in coordinating anti-trafficking efforts at the national level
    - Encourage all relevant government stakeholders in NICTIP to participate in and implement a comprehensive interagency trafficking strategy

### **2. Southern Africa Regional Project (Angola, Botswana, Eswatini, Lesotho, Malawi, Mozambique, Namibia, South Africa, Zambia, Zimbabwe)**

- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level*
  - Develop or strengthen NRMs as needed in the identified countries
  - Develop or strengthen Memoranda of Understanding/Mutual Legal Agreements as needed in the identified countries to increase investigative and legal cooperation on trafficking cases



- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience*
  - Assist identified victims by offering short-term care and repatriation
  - Train shelter staff at short-term care facilities on victim-centered care
- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors*
  - Develop specialized investigative and prosecutorial skills, and provide ongoing case consultation/operational support for trafficking investigations, particularly on cross-border trafficking in persons cases with foreign counterparts
  - Sensitize border officials and frontline responders on trafficking in persons and equip them to identify a potential victim of trafficking
  - Train border officials and frontline responders on the National Referral Mechanism (NRM) in each respective country, to include a clear understanding of their duties and responsibilities in a potential trafficking case

### **3. Angola – Tier 2 Watch List**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 1, 2)*
  - Develop specialized investigative and prosecutorial skills, and provide ongoing case consultation/operational support for trafficking investigations
- *Strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level (TIP Report Recommendations 3, 6)*
  - Fully implement the National Referral Mechanism across all provinces
- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience (TIP Report Recommendation 7)*

- Identify and assess potential shelter service providers for victims of trafficking, including their capacity to provide services
- Upgrade identified shelter(s)
- Train shelter staff to identify trafficking victims through the use of available tools, such as screening and assistance interview forms
- Train shelter staff and social workers/health care providers, including government staff, to provide trauma-informed mental health counseling to victims of trafficking and to support reintegration efforts
- *Raise awareness, and provide educational information on human trafficking issues and risk factors to a target population (TIP Report Recommendation 8)*
  - Raise awareness about the vulnerability of regular and irregular migrants and promote positive attitudinal change towards this population amongst officials and communities along the border

#### **4. Lesotho – Tier 2**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 1, 2, 6)*
  - Support the Child Protection Gender Unit in Maseru to train law enforcement in all 10 districts to develop TIP focal points for investigations
  - Develop specialized investigative and prosecutorial skills and provide ongoing case consultation/operational support for TIP investigations among criminal justice personnel (law enforcement, prosecutors, and magistrates).
- *Create results-driven community, subnational, national, and regional networks empowered to combat human trafficking (TIP Report Recommendation 5)*
  - Build the capacity of the multi-sectoral committee on trafficking in persons to lead a coordinated and victim-centered response in Lesotho
  - Assist government agencies in training staff to respond to and assist in trafficking cases, to include Ministry of Labor and Employment and Ministry of Immigration
  - Provide support to district-level government bodies to ensure a robust response to trafficking in persons across the country
- *Raise awareness and provide educational information on human trafficking issues and risk factors to a target population*

- Develop a sensitization campaign to target vulnerable women and children in Lesotho and Basotho who are seeking employment in South Africa

## **EAST ASIA AND THE PACIFIC ISLANDS (EAP)**

### **1. Laos – Tier 3**

- *Strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level (TIP Report Recommendation 5)*
  - Provide technical assistance to the Lao central government to update Laos' National Plan of Action to Combat Human Trafficking (NPA) beyond its current expiration date in 2020
- *Create results-driven community, subnational, national, and regional networks empowered to combat human trafficking. Examples include – but are not limited to – the creation of community watch groups, human trafficking survivor networks, and multi-stakeholder initiatives to combat trafficking (TIP Report Recommendation 3)*
  - Engage with local provinces and districts to develop local TIP steering committees in line with the national decree on creation of Anti-Human Trafficking Commissions at the provincial, district, and municipal levels, emphasizing implementation of the NPA
    - Proposals should demonstrate an understanding of and a plan for collaborating with existing ongoing efforts in this area.
  - Provide training to local leaders on human trafficking, including victim identification and referral to support services in line with Laos' national referral mechanism
    - Trainings should include Laos' existing guidelines on victim identification and focus on police assigned to anti-trafficking units or cases, local Lao Women's Union representatives, and connecting with Migration Resource Centers.
  - Provide training to local leaders involved in village mediation mechanisms to engage with formal government systems to address instances of human trafficking in their communities
    - Proposals should demonstrate an understanding of existing efforts to engage village mediation units with the judicial system and collaborate with these efforts to align messaging.
  - Raise awareness at the local level about changes to the penal code that increased penalties for human trafficking offenses

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 1, 2, 3)*
  - Provide training to provincial, district, and municipal police and prosecutors on human trafficking, including victim identification, case investigation, evidence collection, and collaborating with prosecutors
    - Trainings should be targeted to provinces with a documented significant prevalence of or risk factors for human trafficking.
    - Trainings should account for existing local judicial mechanisms, including village mediation units, focusing on how to engage them in the formal law enforcement process and seeking to complement existing efforts within the Lao judicial system to engage with these mechanisms.
    - Trainings should include Laos' recently developed guidelines on victim identification and information on how to investigate both forced labor and sex trafficking cases, including domestic and foreign victims, and men and women.
    - Joint trainings between police and prosecutors should be included to facilitate collaboration.

## **2. Malaysia – Tier 2 Watch List**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors. (TIP Report Recommendations 5, 6, 9, 10, 11)*
  - Provide support and training to law enforcement, shelter staff, prosecutors, immigration officials, and judges in implementing a victim-centered approach to identification, protection, investigation, and prosecution
  - Establish procedures and access to translation services for police, prosecutors, and protection service providers to support survivors in their native languages
- *Improve comprehensive services for identified victims of human trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience. (TIP Report Recommendations 1, 2, 3, 13)*
  - Support civil society organizations and government officials and shelter staff in implementing a victim-centered approach to protection, repatriation, and victim services (including

- unchaperoned freedom of movement; at-will communication with people outside shelter facilities; and approval for employment)
  - Establish translated shelter procedures and victim's rights information in key languages (as determined through consultations with government and CSO stakeholders)
- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level. (TIP Report Recommendations 4, 10, 16)*
  - Develop government-wide standard operating procedures for victim identification to include trafficking indicators
  - Develop a National Referral Mechanism to provide a structured framework of outlined procedures for the support of trafficking victims

### **3. Indonesia – Tier 2**

- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level (TIP Report Recommendations 10, 13)*
  - Support the approval of standard operating procedures for victim identification and referral to rehabilitation services (currently being developed by the Ministry of Women Empowerment)
  - Support the dissemination of SOPs to provinces and local governments and the integration of SOPs into trainings, perhaps with training of trainers programs
- *Increase the number of systematized, victim-centered human trafficking investigations, prosecutions, and convictions (TIP Report Recommendations 6, 11)*
  - Support existing government efforts to create an integrated data collection system/TIP database, including providing technical expertise and coordination between government stakeholders
    - Provide training to database users and data collectors.
  - Develop a revised training curriculum targeting the Supreme Court at the policy level
  - Conduct a training of trainers for the judiciary and prosecutors
  - Conduct joint trainings among the criminal justice agencies, prosecutors, and judiciary

## **EUROPE AND EURASIA (EUR)**

### **1. Bosnia and Herzegovina – Tier 2 Watch List**

- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience (TIP Report Recommendations 2, 3, 6, 7, 8, 9)*
  - Develop victim-centered protocols that provide guidance on victim-centered identification, referral, and treatment of victims in the criminal justice system, to include alternatives to punishment of victims and protection of victims of forced begging and forced criminality
  - Train first responders, police, prosecutors, and judges on screening and identification of victims, to include protection of victims of forced begging and forced criminality
  - Train relevant law enforcement and criminal justice officials on victim-centered identification and assistance as well as victim-centered approaches to effective prosecution of trafficking cases
  - In conjunction with awareness-raising activities, train law enforcement and government social workers on standards of victim protection
- *Enhance criminal justice strategies to improve prosecution and sentencing outcomes, resulting in an increased number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 1, 4, 5)*
  - Update legal instruments to ensure that prosecutions can proceed without victims required to cooperate with investigations
  - Provide victim-centered training for judges on changing cultural and judicial norms so that perpetrators of trafficking crimes are held accountable and victims are protected
- *Implement concrete steps to operationalize the multi-disciplinary national referral mechanism (TIP Report Recommendations 3, 6, 9)*
  - Provide training and technical assistance for the Government of Bosnia and Herzegovina to disseminate and implement recently developed standard operating procedures (SOPs)
- *Raise awareness and provide educational information on human trafficking issues and risk factors to a target population, e.g. first*



*responders, medical professionals, teachers, etc. (TIP Report Recommendations 6, 8, 9)*

- In collaboration with representatives from the Roma community, develop awareness raising strategies addressing needs of Roma while combating racism and cultural bias. Target audience should include not only the public and the Roma community, but also law enforcement and government service providers.
- *Create results-driven community, subnational, national, and regional networks empowered to combat human trafficking. Examples include – but are not limited to – the creation of community watch groups, human trafficking survivor networks, and multi-stakeholder initiatives to combat trafficking*
  - Develop sustainable partnerships with civil society, international organizations, and U.S. government partners to develop an action plan with the Government of Bosnia and Herzegovina
  - Assist in developing guidance on protecting victims in the criminal justice process in anti-human trafficking efforts, including addressing institutionalized racism against minority populations

## **2. Romania – Tier 2**

- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience. (TIP Report Recommendations 1, 3, 8, 9)*
  - Conduct multi-sector trainings on proactively identifying victims, especially among vulnerable populations, such as migrants and asylum-seekers, children in government placement centers, children involved in begging, and individuals in prostitution
  - Increase the quality and availability of psychological counseling and medical assistance for victims
  - Provide guidance on implementing the national referral mechanism across the country, especially in rural areas, and train authorities on its application
- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors. (TIP Report Recommendations 1, 3, 8, 9)*
  - Conduct training and technical assistance to encourage the use of the trafficking statute when prosecuting traffickers
  - Train judges on victim-centered sensitivity to trafficking



- Train law enforcement officials on victim-centered approaches to identifying and referring victims for assistance and collecting evidence
- *Raise awareness, and provide educational information on human trafficking issues and risk factors to a target population (e.g. first responders, medical professionals, teachers, etc.) (TIP Report Recommendations 2, 8, 9)*
  - Increase public awareness and outreach to stakeholders working with vulnerable children and youth

## **NEAR EASTERN AFFAIRS (NEA)**

### **1. Jordan – Tier 2**

- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial and/or local level (TIP Report Recommendations 1, 4)*
  - Taking into account into account the TIP legislation currently pending in parliament, assist in the implementation of legislation that comprehensively addresses all forms of human trafficking and is consistent with international standards. The law must ensure penalties for sex trafficking crimes are commensurate with those prescribed for other serious crimes.
    - Before designing a plan to assist in drafting any new legislation, applicants should first determine whether Jordan has enacted the TIP legislation. If so, proposals should focus on activities to fully implement the newly enacted TIP legislation.
  - Coordinate and work with officials to implement the national victim referral mechanism
  - Develop and carry out a plan to fully implement the national victim referral mechanism and finalize and distribute to all relevant officials the revised Standard Operating Procedures for this mechanism
- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 3, 8, 9)*
  - Develop and implement specialized investigative and prosecutorial skills and provide ongoing case consultation/operational support for human trafficking investigations

- Develop and conduct specialized training for prison officials and labor inspectors to proactively screen for, identify, and refer to protection services, trafficking victims among vulnerable populations
  - Cases identified as human trafficking by the counter-trafficking unit and other trained investigators are often prosecuted under the labor law as minor violations once the cases are referred to the general prosecutor.
  - Cases identified by labor inspectors, rather than the counter-trafficking unit or investigators, that meet the definition of trafficking are often prosecuted under the labor law as minor violations.
- Train officials to investigate fraudulent labor and recruitment practices

## 2. Iraq – Tier 2 Watch List

- *Improve comprehensive services for identified victims of trafficking (e.g., the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience) (TIP Report Recommendations 5, 10)*
  - Develop policies and practices (and implement the same) to increase unhindered access to comprehensive protection services for victims of all forms of trafficking and their children
  - Work with officials to establish a legal framework for NGOs to operate shelters for victims and support such organizations
- *Train and, where possible, mentor first responders, law enforcement officers, and judicial officials (especially judges) on trafficking indicators and utilizing a victim-centered approach to handling trafficking cases, including cases involving both foreign and Iraqi victims (TIP Report Recommendations 3, 4)*
- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level (TIP Report Recommendations 6, 9, 11)*
  - Assist in amending the anti-trafficking law to prohibit and punish all forms of trafficking consistent with the 2000 UN TIP Protocol
  - Coordinate and work with officials to finalize regulations to enable full implementation of the anti-trafficking law and assist in the implementation

- Assist in the establishment and implementation of a legal framework in the entire country, including the Iraqi Kurdistan Region (IKR), which criminalizes all forms of human trafficking and prescribes sufficiently stringent penalties
- *Conduct awareness raising activities and provide educational information on human trafficking issues and risk factors to a target population, e.g., first responders, medical professionals, teachers, etc.*

### **3. Lebanon – Tier 2**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendations 1, 2, 6)*
  - Increase victim-centered prosecutions and convictions of offenders under the anti-trafficking law
    - Develop and implement specialized investigative and prosecutorial skills and provide ongoing case consultation/operational support for human trafficking investigations
  - Develop a plan to train, coordinate, and work with officials to better ensure trafficking victims are not arrested, detained, or deported for unlawful acts committed as a direct result of being subjected to trafficking
- *Develop new and/or strengthen existing policies, laws, agreements, or mechanism related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level (TIP Report Recommendations 3, 4, 5, 9, 11)*
  - Assist in the drafting and implementation of legislation to amend the labor law, extending legal protections to foreign workers, and the draft law providing increased labor protections to domestic workers
  - Coordinate and work with officials to adopt and implement the draft national action plan
  - Develop and implement procedures to:
    - Identify and refer to protection services trafficking victims among vulnerable populations, such as illegal migrants, women holding artiste visas, domestic workers, and Syrian refugees
    - Screen all detained domestic workers for potential trafficking victims in detention centers
  - Work with officials to reform the sponsorship system to ensure workers are not bound to abusive employers, and allow workers, including artiste visa holders, freedom of movement. In addition,

undertake actions enabling the government to establish greater oversight over artiste visas, a program that contributes to the vulnerability of women to sexual exploitation.

## **SOUTH AND CENTRAL ASIA (SCA)**

### **1. Pakistan: Tier 2**

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors (TIP Report Recommendation 1)*
  - Develop specialized investigative and prosecutorial skills, and provide ongoing case consultation/operational support for human trafficking investigations, particularly to address internal cases of forced and bonded labor
  - Increase prosecutions and convictions, particularly of labor traffickers and fraudulent labor recruiters who knowingly facilitate human trafficking
- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience. (TIP Report Recommendations 4, 5)*
  - Ensure that identified victims, particularly survivors of forced and bonded labor, receive specialized care services and develop monitoring mechanisms to ensure quality of care
- *Build effective human trafficking data collection systems, analytics, and reporting mechanisms to track and share information relevant to human trafficking and train stakeholders on existing collection methods. Mechanisms include – but are not limited to– databases containing information on identified victims of trafficking, potential victims of trafficking, cases, and/or potential human trafficking perpetrators. (TIP Report Recommendations 6, 7)*

### **2. Nepal – Tier 2**

- *Improve comprehensive services for identified victims of human trafficking (TIP Report Recommendation 3)*
  - Children in institutional care, including government-run facilities, can be easy targets for traffickers, and the institutions and workers

themselves can be traffickers.<sup>1</sup> Applicants should propose a holistic approach to these compounding vulnerabilities, which include poor standards of care, inadequate safety procedures, institutional complicity, irresponsible recruitment, poor government oversight/lack of registration, and risks associated with “voluntourism.”<sup>2</sup>

- Proposals should demonstrate an understanding of existing ongoing efforts in this area and include plans for collaboration with the Nepali Central Child Welfare Board (CCWB).

## **WESTERN HEMISPHERE AFFAIRS (WHA)**

### **1. Ecuador – Tier 2**

- *Draft comprehensive anti-trafficking legislation consistent with international law and encourage its enactment. (TIP Report Recommendation 3)*
- *Implement new legislation (TIP Report Recommendations 1, 4, 5)*
  - Increase capacity of the Government of Ecuador to provide specialized services to victims of trafficking
  - Train law enforcement officials, including border officials, on victim-centered identification and referral
  - Proactively identify trafficking victims among vulnerable populations, such as irregular migrants, individuals in prostitution, and LGBTI individuals
  - Conduct subsequent training on the amended law for key criminal justice stakeholders and civil society
- *Develop new and/or strengthen existing policies, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level. This could include the creation or reinforcement of a human trafficking task force and/or the implementation of the soon-to-be-developed National Action Plan (TIP Report Recommendations 3, 7)*
- *Increase the number of victim-centered human trafficking investigations and prosecutions, including those involving public officials complicit in trafficking crimes (TIP Report Recommendation 2)*

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<sup>1</sup> “Child Institutionalization and Human Trafficking.” Fact Sheet, Office to Monitor and Combat Trafficking in Persons, June 28, 2018. <https://www.state.gov/j/tip/rls/fs/2018/283540.htm>

<sup>2</sup> “Volunteering in Nepal,” U.S. Embassy Kathmandu <https://np.usembassy.gov/u-s-citizen-services/local-resources-of-u-s-citizens/volunteering-in-nepal>

## 2. Peru – Tier 2

The TIP Office seeks to implement a project in the region of Madre de Dios with the option to expand to the region of Puno. The programming objectives are as followed:

- *Increase the number of systematized, victim-centered human trafficking investigations and prosecutions by members of law enforcement and prosecutors*
  - Train security forces to improve proactive human trafficking victim identification, screening, and referral procedures in areas of illegal mining in the region of Madre de Dios, particularly in the course of broader, long-term security operations (*TIP Report Recommendation 4; CPC Objective 1.1*)
  - Improve methods for ensuring victim-centered, trafficking-informed approaches in law enforcement operations, including anti-illegal mining and related operations (*TIP Report Recommendation 9; CPC Objective 1.3*)
  - Strengthen methods for victim-centered, criminal justice proceedings and effective investigation, prosecution, and conviction of human trafficking cases (*TIP Recommendation 4, 6; CPC Objectives 1.2, 1.3*)
- *Improve comprehensive services for identified victims of trafficking through the provision of shelter, healthcare, counseling, legal assistance, repatriation, reintegration, and/or education services that lead to victims' achievement of sustainable livelihoods and/or community and family resilience*
  - Strengthen cooperation between criminal justice officials and social service providers to ensure victims have access to immediate and long-term support (*TIP Recommendation 4; CPC Objective 1.1*)
  - Expand the availability, and improve the quality of specialized, comprehensive services for adult and child victims of trafficking (*TIP Recommendation 1; CPC Objective 2.1*)
  - Build capacity of local officials and civil society to provide community reintegration and sustainable livelihood support to adult and child trafficking victims (*TIP Recommendation 1; CPC Objective 1.3. 2.3*)
- *Develop new and/or strengthen existing policies, laws, agreements, or mechanisms related to human trafficking that are enforceable by a governing body at the international, national, provincial, and/or local level*



- Provide technical assistance and policy advocacy for developing and/or strengthening existing regulatory policies and enforcement procedures at the national, regional, or local level to eliminate human trafficking in mining and other commodity supply chains in Peru (*TIP Report Recommendation 12; CPC Objective 3.2*)

## **C. ELIGIBILITY INFORMATION**

### *1. Eligible Applicants*

Organizations eligible to apply include U.S.-based and foreign non-profits, non-governmental organizations (NGOs), for-profit organizations, institutions of higher education, and public international organizations (PIOs). For-profit organizations are not permitted to generate profits from grant-funded activities. U.S. government agencies may respond to this Notice of Funding Opportunity (NOFO) with proposals for projects that would be funded through an Interagency Acquisition Agreement. While foreign governments are not eligible to apply, governments may be beneficiaries of programs provided that funding does not pay salaries of government agency personnel and that such assistance is not restricted by U.S. law or policy.

Organizations currently receiving funds from the TIP Office may apply for additional funding under the present funding opportunity. The eligibility requirements for applying to this funding opportunity do not restrict applicants from receiving other sources of funding from the United States government, including funding from other bureaus within the Department of State. However, related U.S. government programming must be identified by the applicant. Applicants should demonstrate experience administering successful projects—preferably in human trafficking or related areas and in the identified country or sub-region.

Applicants for this funding opportunity may partner with other organizations in submitting proposal(s). Applicants partnering with other organizations must identify the prime applicant, and the applicant may designate one or more partner organizations as sub-recipients. Please note, all mandatory terms and conditions for a successful applicant also apply to any sub-awards awarded.

### *2. Cost Sharing or Matching*



Cost sharing, cost matching, and cost participation are not required to carry out the program described in this announcement. However, voluntary cost-share may be proposed. Applicants proposing cost share must read the instructions below regarding budget documents that are required to be submitted under this announcement. Applicants should list the cost share amount in the SF-424 under Section C - 8b and Section D - 14.

## **D. APPLICATION AND SUBMISSION INFORMATION**

### *1. Address to Request Application Package*

All proposals must be submitted via [SAMS Domestic](#) by **5:00p.m.** Eastern Daylight Time (EDT) on **May 3, 2019**. The TIP Office will **NOT** accept proposals or updated documents submitted by any other method, including email, fax, the postal system, delivery companies, couriers, or U.S. embassies. Applicants may submit more than one application to address different projects; however, each application should only be submitted once.

Applicants are strongly encouraged to initiate electronic applications **early** in the application development process and to the application in advance of the deadline. This will aid in addressing any problems with submissions prior to the application deadline. No exceptions will be made for organizations that have not completed the necessary steps to submit applications on [SAMS Domestic](#).

### **Applications Submitted Through [SAMS Domestic](#)**

Organizations using [SAMS Domestic](#) for the first time must register on the [SAMS Domestic](#) site to create a New Applicant account as soon as possible. **This application step must be completed before an application can be submitted.**

To register with [SAMS Domestic](#), follow the “*create an account*” link and complete the “*SAMS Domestic User Registration Request*” application form. Users will receive an email requesting account verification. This verification step must be completed before an application can be submitted. Please contact [JTIPGrants@state.gov](mailto:JTIPGrants@state.gov) after the account has been created to ensure the account is associated with the correct organization. Electronic applications submitted via [SAMS Domestic](#) must contain completed SF-424 online forms and documents specified in this funding opportunity.

To upload the proposal, go to [SAMS Domestic](#), select “Apply, then Funding Opportunities,” and select the “Office to Monitor and Combat Trafficking in Persons Programs” funding opportunity. Select the “Apply Here” button, enter the applicant organization’s information, and click Save. Complete each section of the application in the corresponding section of the Additional Documents to Be Submitted Tab: SF 424 Information (SF-424, SF-424 A, SF-42B if applicable) and Project Narrative.

**Please ensure that you select the box, “allow anyone in my organization to edit this proposal,” to ensure members of your organization have access to edit this proposal.**

For assistance with [SAMS Domestic](#), please contact the ILMS Support Desk at 1-888-313-4567 (toll free for international callers) or submit a ticket using the [ILMS Self Service Portal](#). Customer Support is available 24 hours a day, 7 days a week. Please note support hours may change on Federal holidays. Please direct questions regarding the process of uploading applications to Customer Support. Technical difficulties not resolved by the Support Desk by the time of submission must be fully documented and reported to the TIP Office at [JTIPGrants@state.gov](mailto:JTIPGrants@state.gov) only. Please do not contact any other Department of State personnel.

## *2. Content and Form of Application Submission*

Please follow all instructions below carefully. Proposals that do not meet the requirements of this announcement or fail to comply with the stated requirements will be ineligible.

### **Content of Application**

Please ensure:

- The proposal clearly addresses the goals and objectives of this funding opportunity
- All documents are in English
- All budgets are in U.S. dollars
- All pages are numbered
- All documents are formatted to 8 ½ x 11 paper, and
- All Microsoft Word documents are **12 point Times New Roman** font, with a minimum of 1-inch margins. Applicants **must** use the provided template for the SOI narrative. These requirements have been locked in the provided template. **Submission of PDF files will not be accepted** and will result in automatic failure of the technical review.

## **Required Sections – Overview**

### **Section 1 – Online Forms: Standard Forms 424, 424A, and 424B**

Complete the SF-424 and SF-424A as described in the instructions provided through [SAMS Domestic](#). Please note, the SF-424B is now required only for those applicants who have not registered in SAM.gov or recertified their registration in SAM.gov since February 2, 2019 and completed the online representations and certifications. In addition, the following information may be helpful when completing the SF-424. Please see the provided Annex for further instructions on filling out these forms, located under the “Information for Applicants” tab in [SAMS Domestic](#).

**SF-424: Complete all fields except where noted as “Leave Blank” below.**

1. **Type of Submission:** Application
2. **Type of Application:** New
3. **Date Received:** Leave blank. This will be assigned automatically.
4. **Applicant Identifier:** Leave blank.
- 5a. **Federal Entity Identifier:** Leave blank.
- 5b. **Federal Award Identifier:** Leave blank.
6. **Date Received by State:** Leave blank. This will be assigned automatically.
7. **State Application Identified:** Leave blank. This will be assigned automatically.
- 8a. **Enter the legal name of the applicant organization.** Do **NOT** list abbreviations or acronyms unless they are part of the organization’s *legal name*.
- 8b. **Employer/Taxpayer ID Number:** Non-U.S. organizations enter 44-4444444
- 8c. **Enter organizational Unique Entity Identifier number (UEI).**  
Organizations can request a UEI number at: <http://fedgov.dnb.com/webform>.  
Enter “0000000000” for organizations that do not yet have a UEI number.
- 8d. **Enter the headquarters address of the applicant.**
- 8e. **Enter the name of the primary organizational unit** (and department or division) that will undertake the assistance activity as applicable.
- 8f. **Enter the name, title, and all contact information of the person to be contacted** on matters involving this application.
9. **Select an applicant type** (type of organization)
10. **Enter:** Office to Monitor and Combat Trafficking in Persons
11. **Select:** 19.019

12. **Enter the Funding Opportunity Number and title.** This number will already be entered on electronic applications.
13. **Enter the Competition Identification Number and title.** This number will already be entered on electronic applications.
14. **Areas Affected by Project:** Enter country or region intended for project.
15. **Enter the title of proposed project:** Enter project title.
16. (16a) **Congressional districts of Applicant:** Applicants based in the U.S. should enter congressional district. Foreign applicants should enter “90.” 16(b) All applicants should enter “90.”
17. Enter **start date February 1, 2020** and projected end date.
18. (18a) Enter the **amount requested** for the project described in the full proposal under “Federal”; (18b) enter any cost-share under “Applicant.” If not proposing cost-share, enter zeros.
19. **Select “c”.** Program is not covered by E.O 12372.”
20. **Select the appropriate box.** If the answer is “yes” to this question, provide an explanation.
21. Enter the name, title, and all contact information of the **individual authorized to sign for the application** on behalf of the applicant organization.

**SF-424A –Applicants often say this form is confusing. Please review the detailed instructions below BEFORE completing this form online.**

***Section A - Budget Summary – Complete Row 1***

- 1a. **Enter:** Anti-Trafficking Program (This is the only grant program that needs to be entered).
- 1b. **Enter:** 19.019.
- 1c-d. Leave these fields blank.
- 1e. Enter the **amount of federal funds requested** for this project.
- 1f. Enter the **amount of any other funds the applicant will receive** towards this project.
- 1g. Enter the **total cost** of this project.
- Rows 2, 3, and 4:** Leave blank.

***Section B - Budget Categories – Enter total project costs in each category in Column 1 as described below. In Column 5 the form should automatically show the sum. Columns 2, 3, and 4 leave blank.***

- 6a-h. Enter the amount for **each object class category** (Include cost sharing).
- 6i. Enter the **sum** of 6a-6h.
- 6j. Enter **any indirect charges**.

6k. Enter the **sum of 6i and 6j**.

7. Enter any program income that will be earned **as a result of the project**.  
If none, leave this section blank.

***Section C - Non-Federal Resources (Only complete this section if the proposal includes funds from other sources)***

8a. **Under Grant Program enter:** Anti-Trafficking Program.

8b. Enter **cost share** amount, if applicable.

8c. Enter the amount of any **other funding sources** for this project.

8d. Leave **blank**.

8e. Enter the **total amount for all non-federal resources** (the form should automatically show this sum).

**Rows 9, 10, and 11: Leave blank**

***Section D - Forecasted Cash Needs***

13. In the first column, enter the total amount of **federal funds** requested for the project. Forecasted cash needs by quarter are not required.

14. In the first column, enter the total amount of **non-federal funds** you expect to expend during the project. Please list total cost share in this column. Forecasted cash needs by quarter are not required.

15. In the first column, **enter the sum of 13 and 14** (the form should automatically show this sum). Forecasted cash needs by quarter are not required.

***Section E - Budget Estimates of Federal Funds Needed for Balance of the Project***

16a. **Under Grant Program enter:** Anti-Trafficking Program.

16b. Enter the amount of federal funds to be expended in year one of the project.

16c. Enter the amount of federal funds to be expended in year two of the project (if applicable).

16d. Enter the amount of federal funds to be expended in year three of the project (if applicable).

**16e. Leave blank**

**Rows 17, 18, and 19: Leave blank**

20. Enter the total amount for each year (the form should automatically show this sum).

***Section F - Other Budget Information***

21. Enter: Direct Charges – **Leave Blank**

22. Enter: Indirect Charges – If Indirect Charges are shown in Section B 6, enter the type of Indirect Rate used (Provisional, Predetermined, Final, or Fixed).

23. Enter any comments.

## **SF-424B**

This form must be sign online in [SAMS Domestic](#). Please note, the SF-424B is now required only for those applicants who have not registered in SAM.gov or recertified their registration in SAM.gov since February 2, 2019 and completed the online representations and certifications.

### **Section 2 – Statement of Interest (SOI) Content**

*Applicants are required to use the provided SOI narrative template, and text should be entered directly into the template. **SOIs must be submitted as Word documents. PDF versions of the SOI narrative will not be accepted and will result in failure of the technical review.***

The applicant should fill out all required sections on first page of the template, which includes the following key information:

- Region or regions that the project will benefit.
- Country or countries that the project will benefit.
- Project Title.
- Name of applicant organization.
- Applicant organization type.
- Name and email address of point of contact for the application (This should be the same contact listed on the SF-424 in section 8f).
- Funding amount requested in U.S. dollars. If applicants include a cost share, it should also be in U.S. dollars. No other figures are requested at this time.
- Project duration in months.
- 3P Percentage Breakdown (Ex. 30% Prevention, 60% Protection, and 10% Prosecution).
- How the applicant heard about this funding opportunity.

The remaining section of the template will make up the foundation of the project narrative and the overall statement of interest. Please note that the SOIs should be no more than 9,000 characters (approximately 3 pages), including spaces, and submitted in **12-point Times New Roman** font. The provided template has been set to these requirements. The content of applications should include:

- Brief description of the applicant organization and partner(s), previous work in the country or region where project would be performed, previous work to combat human trafficking and other similar crimes, and the applicant's current and prospective funding for related projects in the region or country, if applicable. The SOI should clearly demonstrate the organization's record and capacity, including previous grant management experience. A general organizational history is not required.
- Description of how the project meets *at least one* of the TIP Office's country or region specific programming objectives described in this announcement.
- Project description, including goals, objectives, outcomes and/or deliverables, performance indicators, intended beneficiaries, and duration of activities.
  - *Note:* A *goal* is a brief statement of what the project hopes to accomplish. An *objective* is a statement that describes the intended results or incremental changes that a project intends to achieve by implementing specific activities. Strong objectives are detailed, contain clear statements of change expected as a result of the project, and are "SMART," i.e., *Specific, Measurable, Attainable, Realistic, and Time-Bound*. *Activities* are the tasks or actions that a project undertakes to accomplish specific objectives. Activities should be linked to the achievement of specific objectives. *Outcomes* represent the extent to which an activity, project, program, or policy achieves its objectives. *Indicators* are either quantitative or qualitative variable(s) that provides a reliable means to measure a particular phenomenon or attribute of your program.

### 3. *Unique entity identifier and System for Award Management (SAM)—Required*

#### **Required Registrations:**

A valid Unique Entity Identifier [formerly the Data Universal Numbering System (DUNS)] is not required for submission of an application on [SAMS Domestic](#); however, a valid UEI number is required for organizations selected for an award. Organizations should verify their UEI number or take the steps needed to obtain one as soon as possible. Instructions for obtaining a UEI number can be found at <http://fedgov.dnb.com/webform>.

Please register with the System for Award Management (SAM.gov) when submitting proposals. Selected grantees will be required to have an active registration in SAM.gov. Although registration is not required prior to submitting



an application via [SAMS Domestic](#), all applicants should register at SAM.gov as soon as possible to avoid future delays. Organizations that have SAM.gov accounts must log in **at least once every 13 months (395 days)** to maintain an active registration. Please reactivate any accounts that have become inactive when submitting proposals.

It is the responsibility of the applicant to ensure that it has an active registration in SAMS Domestic. Applicants are required to document that the application has been received in its entirety by SAMS Domestic. The TIP Office bears no responsibility for disqualification that result from applicants not being registered before the due date, for system errors in SAMS Domestic, or other errors in the application process

#### *4. Submission Dates and Times*

Proposals must be submitted via [SAMS Domestic](#) by **5:00p.m.** Eastern Daylight Time (EDT) on **May 3, 2019**, to be eligible for consideration. **To be competitive under this funding opportunity, applicants must be fully responsive to all directions in this document.**

#### *5. Funding Restrictions*

##### Construction

The TIP Office restricts the use of Federal assistance for construction purposes. Construction costs are defined as non-major costs for rearrangement and alteration or reconversion or renovation of facilities. Construction would include ordinary or normal alterations, restoration or rehabilitation such as any work that modifies buildings and/or grounds. This includes but is not limited to adding, replacing, modifying, relocating, removing, or painting doors, walls, windows, flooring or the alterations of ceilings, adding on to or dividing existing space or work on any building utility system, electrical, plumbing, ventilation, air conditioning, controls systems, fire alarms, fire sprinklers, security systems and telecommunication equipment. Federal assistance funds cannot be used for capital improvements unless specifically approved in advance by the TIP Office.

##### Equipment and Supplies

Equipment is herein defined as an article of non-expendable, tangible, personal property having a useful life of more than one year and an acquisition cost in excess of \$5,000. Please note that if you include equipment costs in your proposal, your organization must retain ownership of all equipment under the award. (This

includes any equipment funded with cost sharing or matching funds.) At the end of the award, you must complete federal SF-428 forms in order for the TIP Office Grants Officer to evaluate whether the item(s) be retained, sold, or disposed of without any further obligation to the Department of State.

Supplies are herein defined as all tangible personal property other than those described in Equipment. Note that if there is a residual inventory of unused supplies exceeding \$5,000 in total aggregate value upon completion of the project and the supplies are not needed for any other Federal award, your entity must retain the supplies for use on other activities or sell them, but must, in either case, compensate the Department of State for its share. The amount of compensation will be computed in the same manner as for Equipment.

In addition, programming may not benefit countries or entities that are not eligible recipients of United States foreign assistance or for which there are applicable assistance restrictions. Recipients shall work with the Department of State to ensure that any applicable restrictions and requirements are addressed prior to awarding any sub-awards.

#### *6. Other Submission Requirements*

All application material must be submitted via SAMS Domestic. The TIP Office will not accept application materials by email.

### **E. APPLICATION REVIEW INFORMATION**

#### *1. Criteria*

The following criteria will be used to evaluate SOIs and are listed in order of descending priority:

#### ***-- Organizational Capability and Past Performance***

Applicants should demonstrate strong knowledge of human trafficking issues and the trafficking context in the country or sub-region where the project would take place. Applicants should establish their ability to implement anti-trafficking programs in the specific country or sub-region. The TIP Office will consider the past performance of prior recipients of both TIP Office and other U.S. government funding, as well as the potential of new applicants to successfully implement a project. Applicants are encouraged to procure input and expertise from survivor

employees/experts in developing the project and/or propose survivor-led organizations as sub-grantees or partners.

***-- Sustainability***

SOIs will be evaluated on the extent to which activities promote sustainable intervention practices and build the capacity of local communities, including both local government institutions and civil society, to address their own human trafficking challenges in the future. SOIs will also be evaluated on how well they promote, strengthen, and build the capacity of local institutions and on the extent to which activities are supported by sustainable local organizations that can effectively combat trafficking beyond the life of the award.

***-- Local Partnerships***

Public International Organizations and applicants not based in the specified country or sub-region should describe and identify existing or proposed partnerships with thematic or in-country partners and stakeholders. SOIs must demonstrate a clear understanding of the role that local organizations and institutions are playing or can play in combating human trafficking and describe how they will engage with local partners to increase sustainability and to ensure programming is context appropriate. In cases where an applicant is not able to partner with a local organization or institution, does not consider it feasible to do so, or does not consider it in the project's best interest, the SOI must clearly explain why. Applicants are also encouraged to work with survivor-led organizations as sub-grantees or partners.

***-- Coordination with Other Donors and Programs***

The SOI shall identify related projects or activities that the applicant may already be implementing or planning. Information shall include a brief description of the programming, information on its funder, and a description of how the proposed project would complement and avoid duplicating current or planned activities. The SOI should also identify any proposed cost share or joint funding by other public and/or private donors, if applicable. The TIP Office encourages coordination among donors and implementers and will evaluate more favorably those proposals that would support broader anti-trafficking strategies and those that feature a cost share with other funders. Proposals or concepts that we are unable to fund may be forwarded to other potential U.S. government donors.

***2. Review and Selection Process***

Following the submission deadline, all SOIs will be screened to determine whether they meet the technical requirements stated in this announcement. As a reminder, the TIP Office will only consider SOIs for the specific program objectives listed in the “Objectives and Activities” in Section D: Application Information, sub-section 2.3.C of this funding opportunity. To be eligible, SOIs must also respond to ***at least one*** of the specific programming objectives listed in this section and address at least one activity in each proposed objective. SOIs do not need to address all of the specific programming objectives.

SOIs will be deemed ineligible during the technical review process and will not be considered for funding if they (1) include projects in countries not listed in this NOFO; (2) do not address at least one specified programming objective; or (3) do not meet the technical review requirements listed below in section D: Application Information. Following the technical review, a formal content review of each SOI that passes the technical review will commence. Regional Bureaus and Embassies within the Department of State as will be asked to review and provide feedback on the SOIs.

Note that once the NOFO deadline has passed, Department of State staff in Washington, D.C. and overseas at U.S. Embassies/Missions may not discuss this competition with applicants until the review process has been completed. With the exception of technical submission questions during the funding process, U.S. Department of State staff in Washington and overseas shall not discuss this competition with applicants until the entire SOI review process has been completed and rejection and approval letters have been transmitted. All questions related to the funding process and content of this funding opportunity must be submitted via email to [JTIPGrants@state.gov](mailto:JTIPGrants@state.gov).

Following the technical and formal content review, the TIP Office will invite selected applicants to participate in the second stage of competition by submitting full proposals under a limited competitive announcement. Selected applicants will have approximately 30 days to develop and submit a full proposal, which must include a monitoring and evaluation plan with an explicit theory of change. Full proposals will be reviewed by members of the TIP Office and by an intra- and interagency review panel. Panel recommendations will be presented to the Ambassador-at-Large to Monitor and Combat Trafficking in Persons for consideration.

Second-stage applicants will be subject to a TIP Office risk assessment process that may include a pre-award site visit. The assessment may consider a variety of

risk factors, including (1) Financial stability of the applicant; (2) Quality of management systems and ability to meet prescribed management standards; (3) Past performance in managing previous federal awards, if applicable, including compliance with reporting requirements, conformance to the award's terms and conditions, and the extent to which previously awarded amounts will be expended prior to future awards; (4) Reports and findings from available audits; and (5) Applicant ability to effectively implement statutory, regulatory, or other requirements applicable to non-Federal entities.

### *3. Federal Awardee Performance & Integrity Information System (FAPIIS)*

For any Federal award under a notice of funding opportunity, if the Federal awarding agency anticipates that the total Federal share will be greater than the simplified acquisition threshold on any Federal award under a notice of funding opportunity may include, over the period of performance (see §200.88 Simplified Acquisition Threshold), this section must also inform applicants:

- i. That the Federal awarding agency, prior to making a Federal award with a total amount of Federal share greater than the simplified acquisition threshold, is required to review and consider any information about the applicant that is in the designated integrity and performance system accessible through SAM (currently FAPIIS) (see 41 U.S.C. 2313);
- ii. That an applicant, at its option, may review information in the designated integrity and performance systems accessible through SAM and comment on any information about itself that a Federal awarding agency previously entered and is currently in the designated integrity and performance system accessible through SAM;
- iii. That the Federal awarding agency will consider any comments by the applicant, in addition to the other information in the designated integrity and performance system, in making a judgment about the applicant's integrity, business ethics, and record of performance under Federal awards when completing the review of risk posed by applicants as described in §200.205 Federal awarding agency review of risk posed by applicants.

### *4. Anticipated Announcement and Federal Award Dates*

The TIP Office anticipates making a decision on applications for this NOFO by the end of June 2019 and will notify all applicants of their application status at this

time. Applicants invited to submit full proposals will have thirty days to submit them. The TIP Office expects to notify all full proposal applicants of their application status by the end of October 2019. Shortly after proposal(s) are selected, the Office will work with the selected organization(s) to clarify aspects of their proposal and award the funds. All funding decisions are conditional until a final award is signed.

## **F. FEDERAL AWARD ADMINISTRATION INFORMATION**

### *1. Federal Award Notices*

Following the internal review process, applicants can expect to hear from the Office to Monitor and Combat Trafficking in Persons via email regarding the status of their statements of interest within 30 days of the application due date. However, a letter stating that an applicant is chosen for further consideration does not constitute authorization to begin performance on the proposed project. All selected programs are conditional until the funds have officially been authorized and awarded by the grants officer.

### *2. Administrative and National Policy Requirements*

**Standard Terms and Conditions:** Before submitting an application, applicants should review all the terms and conditions and required certifications which will apply to this award, to ensure that they will be able to comply. These include: 2 CFR 200, 2 CFR 600, Certifications and Assurances, and the Department of State Standard Terms and Conditions, all of which are available at:

<https://www.statebuy.state.gov/fa/pages/home.aspx>

Note the U.S Flag branding and marking requirements in the Standard Terms and Conditions.

**Anti-Prostitution Policy and Requirements:** Grantees are required to agree to the following conditions prior to a grant being awarded:

1. None of the funds made available herein may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to combat trafficking in persons, including programs for prevention, protection of victims, and prosecution of traffickers and others who profit from trafficking in persons, by ameliorating the suffering of, or health risks to, victims while they are being trafficked or

after they are out of the situation that resulted from such victims being trafficked.

2. The recipient shall insert this provision in all sub-agreements under this award.

**Training Certification:** All organizations receiving funds must agree to the following:

- *“This organization hereby certifies that, to the extent practicable, persons or entities providing legal services, social services, health services, or other assistance have completed, or will complete, training in connection with trafficking in persons.”* The recipient shall insert this provision in all sub-agreements under this award. TVPA sec. 107A(b)(1) (22 U.S.C. 7105A(b)(1)).

**Special Provision for Performance in a Designated Combat Area:** Each federal assistance award within areas of combat operations or future contingency operation, as designated by the Secretary of Defense, over \$150,000 or providing for performance over 30 days must be registered in the Department of Defense maintained Synchronized Pre-deployment and Operational Tracker (SPOT) system. Each federal assistance award shall be registered in SPOT before personnel deployment. Requirements are fully outlined in the award agreements for recipients.

**Leahy Vetting Requirement:** This requirement applies to training or other assistance to be furnished to any unit or individual member of the security forces of a foreign country. Leahy vetting is required when training or assistance is provided to foreign security forces, including when such assistance is provided under a grant or cooperative agreement. Department guidance provides the following information on the type of personnel who are considered security forces and thus must be vetted under Leahy:

- *“The Leahy amendment refers to the ‘security forces of a foreign country.’ It makes no distinction between military and civilian. The key is whether the individual is a member of a security force unit. In broad terms, any division or entity (to include an individual) authorized by a State or political subdivision (city, county, etc.) to use force (including but not limited to the power to search, detain, and arrest) to accomplish its mission would be considered a security force. ‘Security forces’ thus could be units of law*



*enforcement or the military. Prison guards, customs police, border police, tax police, and the coast guard would be examples of the types of units included in the category of ‘security forces.’ Members of these types of units should be considered as subject to the Leahy Amendment and be vetted either as individuals or as part of the unit being trained. Examples of persons who are not considered ‘security forces’ include: government bureaucrats, prosecutors, judges, civilian members of NGOs, international organizations or task forces and forensic lab workers.”*

Leahy Vetting requirements will be fully outlined in the award agreements for recipients. The vetting process may take considerable time, and applicants should plan training activities with sufficient time for vetting to be completed. All successful applicants, including prior grantees, will be required to complete a new Leahy Vetting training module prior to the award of new projects.

#### **Executive Order Strengthening Protections Against Trafficking in Persons in Federal Contracts:**

Any applicant’s hiring process must be consistent with the U.S. government’s regulations on preventing human trafficking among federal contractors and grantees, specifically:

- *U.S. law and regulations expressly prohibit federal contractors, subcontractors, grantees, subgrantees, and their agents/employees from engaging in certain trafficking-related practices, such as misleading or fraudulent recruitment practices; charging employees recruitment fees; and destroying or confiscating employees' identity documents, such as a passport or a driver's license.*

See 22 U.S.C. § 1704(g) (as amended by recent TVPRA); Federal Acquisition Regulation, 48 C.F.R. § 52.222-50 (Combating Trafficking in Persons); see also Federal Acquisition Regulation, 83 FR 65466 (Dec. 20, 2018) (Combating Trafficking in Persons—Definition of “Recruitment Fees”).

**U.S. National Action Plan on Women, Peace, and Security:** Applicants are encouraged to review the goals of the U.S. National Action Plan on Women, Peace, and Security for their relevance to applications. In particular, Outcome 3.3 of the Plan provides guidance on efforts to combat trafficking:

- *“Engage with international and/or civil society organizations to ensure that standard operational procedures are in place to prevent human trafficking,*

*especially among refugees and internally displaced persons (IDPs), including appropriate assistance and procedures for unaccompanied minors, to identify potential trafficked persons, and to refer survivors to appropriate service providers. As appropriate, provide support to international and civil society organizations to set up emergency care services for trafficking survivors.”*

And:

- *“Promote establishment of local coalitions or taskforces comprised of relevant government authorities and civil society organizations to combat human trafficking as part of the justice reform measures in post-conflict areas.”*

**U.S. Department of State Policy on Disabilities:** The U.S. government has made a commitment to protect and advance human rights and fundamental freedoms for all people, including persons with disabilities. To that end, the Convention on the Rights of Persons with Disabilities (CRPD) seeks to ensure that every person living with a disability can benefit from the same access and protections, in the United States and abroad.

**U.S. Department of State Policy on Lesbian, Gay, Bisexual, Transgender, and Intersex (LGBTI) Individuals:** In preparing applications, applicants are reminded that the Department’s priorities for advancing LGBTI equality abroad are to eliminate violence and discrimination based on sexual orientation, gender identity, and gender expression. Advancing the human rights of LGBTI people, as with our support for other marginalized or vulnerable people, complements and reinforces other U.S. foreign policy priorities, including strengthening civil society, promoting the rule of law, supporting gender equality and advancing the status of women and girls, protecting refugees and asylum seekers, and furthering anti-trafficking efforts, among others. Due to these intersections, violations or abuses of the human rights of LGBTI people often also have negative implications for other U.S. foreign policy priorities.

### *3. Reporting*

**Grant Reporting and Monitoring Requirements:** Recipients will be required to submit financial reports and program reports. The award document will specify how often these reports must be submitted.

- **Reporting Requirements:** Award recipients are required to submit quarterly program process and financial reports at pre-determined intervals throughout the project period and final reports 90 days after the end of the project period. Access to funds may be suspended if reports are late or incomplete.
- **Grant Monitoring and Evaluation:** The TIP Office monitors all funded projects. Award recipients should expect the Grants Officer and Grants Officer Representative to conduct site visits during the performance period. On-site reviews include assessment of program and administrative effectiveness. In addition to planned program monitoring, some awards and sub-awards may be selected for independent evaluation.

If the federal share of any award issued under this funding opportunity exceeds \$500,000 over the period of performance, potential applicants should be aware of the post-award reporting requirements reflected in [2 CFR 200 Appendix XII—Award Term and Condition for Recipient Integrity and Performance Matters](#).

#### *4. Data Sharing*

**Data Sharing Recommendation:** The TIP Office strongly encourages successful applicants to provide victim and survivor data collected from project(s) to the IOM [Counter-Trafficking Data Collaborative](#) (CTDC), which publishes harmonized data from counter-trafficking organizations around the world. The goal of the CTDC is to break down information-sharing barriers and equip the counter-trafficking community with up to date, reliable data on human trafficking. Bringing together global data in one platform will strengthen and empower local, national, and international institutions to eradicate crimes of trafficking and exploitation. No personally identifying information is transferred to or hosted by CTDC, and organizations are asked to anonymize victim data in accordance to the standards set by IOM CTDC.

## **G. FEDERAL AWARDING AGENCY CONTACTS**

If you have any questions about the funding process or the content of this funding opportunity, applicants may submit these questions via email to [JTIPGrants@state.gov](mailto:JTIPGrants@state.gov). The questions and answers will be published and updated frequently on the TIP Office's website ([www.state.gov/j/tip](http://www.state.gov/j/tip)).

For assistance with [SAMS Domestic](#) please contact Customer Support by calling 1 (888) 313-4567 or by creating an account on the [ILMS Self Service Portal](#). The

Support Desk is available 24/7. Please note the hours for Federal holidays may differ. **Please note, if an organization has issues submitting a proposal near the deadline, the only way their late application will be accepted is if they open a ticket with the help desk to fix their problem prior to the deadline.** A ticket with the help desk can be opened by submitting a ticket through the [ILMS Self Service Portal](#).